Protection from Retaliation
If you believe you have been retaliated against for blowing the whistle on improper activity, please file a complaint with your Human Resources or Academic Personnel Office, your Locally Designated Official, or your supervisor.

For More Information
The University’s Whistleblower and Whistleblower Protection policies, and additional information, can be found online at http://ucwhistleblower.ucop.edu.

Other Reporting Mechanisms
- State Auditor’s Whistleblower Hotline, at (800) 952-5665 www.auditor.ca.gov/hotline
- California Attorney General’s Hotline, at (800) 952-5225
  You may also report fraud, waste & abuse involving specific federal programs directly:
  - Recovery Act Fraud Hotline (Misuse of Stimulus Spending), at (877) 392-3375
  - Department of Defense Hotline, at (800) 424-9098
  - Department of Homeland Security Hotline, at (800) 323-8603

What You Can Report
Any activity by UC or a UC employee that
- violates a state or federal law or regulation, such as
  - corruption
  - malfeasance
  - bribery
  - theft or misuse of government property
  - fraud
  - coercion
  - conversion
- wastes money, or
- involves gross misconduct, gross incompetence, or gross inefficiency

Where To Report
- Your Supervisor
- John Fox, Human Resources
- Nancy Tanaka, Academic Personnel
- John Lohse, Director of Investigations
- Sheryl Vacca, Chief Compliance Officer
- Dan Dooley, Locally Designated Official
- Universitywide Whistleblower Hotline: (800) 403-4744 or universityofcalifornia.edu/hotline
  (Our telephone & web hotline is independently operated to help ensure confidentiality)

How To Report
- In writing or orally
- With as much specific factual information as possible (Report what you know, but don’t investigate—leave that to the experts!)
- Anonymously, if preferred
  Confidentiality will be maintained, to the extent possible

WHAT TO REPORT
Improper acts by a state agency or employee such as:
- Violation of state or federal law.
- Noncompliance with an executive order, Rule of Court, the State Administrative Manual, or the State Contracting Manual.
- Misuse or waste of state resources.
- Gross misconduct, incompetence, or inefficiency.
  Some of the most commonly reported improper acts include misuse of state property, improper travel expenditures, and time and attendance abuse.

The California State Auditor does not have the authority to investigate violations of a department’s internal policies or procedures.

YOUR IDENTITY IS PROTECTED
The Whistleblower Protection Act requires the State Auditor to protect your identity.

COMPLAINTS ARE INVESTIGATED
The California State Auditor investigates complaints and reports the results of substantiated allegations to the:
- Head of the employing agency.
- Legislature, the Governor, and appropriate law enforcement agencies.
- General public, keeping identities confidential.

A Message from the State Auditor
REPORT IMPROPER ACTIVITY TODAY — Contact the Whistleblower Hotline

WHY YOU SHOULD REPORT
- You are entitled to protection under the State Whistleblower Protection Act.
- You have a right to blow the whistle on improper government activity.

WHEN TO REPORT
- By filing a formal complaint with the State Auditor.
- By filing an informal complaint with your employer.

WHAT TO DO
Contact the Whistleblower Hotline:
(800) 952-5665 Phone or (916) 322-2603 Fax

Mail Information to:
Investigations
California State Auditor
P.O. Box 1019
Sacramento, CA 95812

Submit a complaint online to:
www.auditor.ca.gov/hotline
(Note: complaints not accepted via e-mail.)

Whistleblowers are Protected
The Whistleblower Protection Act requires the California State Auditor to protect your identity.
It also prohibits intimidation, threats, or coercion by state employees that could interfere with your right to disclose improper governmental activities. If you feel you have been retaliated against for reporting an improper governmental activity, you should report this immediately to one of the following agencies:
- State and court employees: write to the State Personnel Board at 801 Capitol Mall, MS53, Sacramento, CA 95814.
- University of California (UC) employees contact the Locally Designated Official for the UC facility at which you are employed.
- Visit http://ucwhistleblower.ucop.edu for information on UC’s current policy, or visit universityofcalifornia.edu/hotline to file a complaint.

California State Auditor
Revised 6/2013